

PATENT

122/130ⁿ
ATTORNEY DOCKET: D3398-00033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Aguais et al.

Serial No.: 10/044,071

Examiner: not yet assigned

Filed: January 10, 2002

Group Art Unit: not yet assigned

For: System and Method for Valuing and Managing the Risk of Credit Instruments

I CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

ON

January 28, 2002
Mary La Grange

Assistant Commissioner for Patents
Washington, D.C. 20231
Att: Licensing and Review

Dear Sir:

PETITION FOR RETROACTIVE LICENSE (37 CFR 5.25)

It is respectfully requested that this petition for license for foreign filing be granted retroactively under the provisions of 37 CFR 5.25.

02/21/2002 DATES 00000002 10044071

01 FC:122

Attached is a copy of the material that was filed abroad without a license for foreign filing.

With respect to the material for which a retroactive license is requested, each foreign country in which the patent application material was filed and the date it was filed is as follows:

Foreign Country

Canada

Date

January 10, 2002

PATENT

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Also attached hereto are the verified statement(s) of:

Kendrick Lo

which confirms that, in accordance with 37 CFR 5.25(a)(3)(I)-(iii),

- (a) the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order;
- (b) the license is being diligently sought after discovery of the proscribed foreign filing; and
- (c) an explanation of why the material was filed abroad through error and without deceptive intent without the required license under §5.11 first having been obtained.

FEE (37 CFR 1.17(h) - \$130.00)

The fee for this petition for retroactive license is being paid by:

☒ Attached is a check in the amount of \$130.00.

☐ Authorization is hereby made to charge the amount of \$_____ to Deposit Account No. 04-1679.

☒ Please charge any additional fees required by this paper, or credit any overpayment, to Deposit Account 04-1679.

Respectfully submitted,

Date: January 28, 2002

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